


Fig. 1



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Select Account Registration

Application Progress

0%

50%

100%

What type of account would you like to open?

- ☐ [Individual](#)
- ☐ [Joint Tenants with Rights of Survivorship](#)
- ☐ [Tenants in Common](#)
- ☐ [Tenants by the Entirety](#)
- ☐ [Community Property](#)


Select the features you would like to add to your brokerage account:
(subject for approval, select all that apply)

- ☐ [Margin trading privileges](#)
- ☐ [Option trading privileges](#)
- ☐ [Covered Writing](#) (Safety of Principal, Growth Income)
- ☐ Buying [Puts and Calls](#) and Index (Growth, Speculation)
- ☐ Creating [Spreads](#) (Speculation*)
- ☐ [Uncovered Writing](#) (Speculation*)

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*Requires margin account and a minimum equity of \$20,000.

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Fig. 1A



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USAA Mutual Fund Account Holder Information

Application Progress

0%

50%

100%

All fields are required, unless otherwise indicated.

Name: John Smith
Date of Birth: 02/28/1965
SSN: 123-45-6103

Address:

State

Zip Code

Home Telephone:

Ext.

Domestic Example: 210-123-4567
International Example: +44-1344-200000 (do not use DSN)

Work Telephone:

Ext.

Primary E-Mail Address:

Please ensure the address is complete.
 Example: myname@mail.com

Secondary E-Mail Address:

Citizenship:

U.S. Citizen

If Other, specify Country of Citizenship:

What is your country of residence?

United States

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Fig. 1B



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Invest in Your USAA Mutual Fund

Application Progress
0% 50% 100%

Select the funds in which you would like to invest:

Capital Appreciation

Fund Name	Minimum Initial Investment
<input type="checkbox"/> Capital Growth Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Emerging Markets Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> First Start Growth Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Gold Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Growth & Income Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Growth Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> International Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Science & Technology Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Small Cap Stock Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> World Growth Fund Fund Info Prospectus (30k pdf)	\$3,000

Income-Taxable

Fund Name	Minimum Initial Investment
<input type="checkbox"/> GNMA Trust Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> High-Yield Opportunities Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Income Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Income Stock Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Intermediate-Term Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Short-Term Fund Fund Info Prospectus (30k pdf)	\$2,000

Income-Tax Exempt

Fund Name	Minimum Initial Investment
<input type="checkbox"/> Tax Exempt Long-Term Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Tax-Exempt Intermediate-Term Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Tax-Exempt Short Term Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> California Bond Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Florida Bond Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> New York Bond Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Virginia Bond Fund Fund Info Prospectus (30k pdf)	\$3,000

Index Funds

Fund Name	Minimum Initial Investment
<input type="checkbox"/> Extended Market Index Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Global Titans Index Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Nasdaq-100 Index Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> S&P 500 Index Fund Fund Info Prospectus (30k pdf)	\$2,000

Asset Allocation

Fund Name	Minimum Initial Investment
<input type="checkbox"/> Balanced Strategy Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Cornerstone Strategy Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Growth and Tax Strategy Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Growth Strategy Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Income Strategy Fund Fund Info Prospectus (30k pdf)	\$3,000

Money Market

Fund Name	Minimum Initial Investment
<input type="checkbox"/> Money Market Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Tax Exempt Money Market Fund Fund Info Prospectus (30k pdf)	\$3,000
<input type="checkbox"/> Treasury Money Market Trust California Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Money Market Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Florida Tax-Free Money Market Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> New York Money Market Fund Fund Info Prospectus (30k pdf)	\$2,000
<input type="checkbox"/> Virginia Money Market Fund Fund Info Prospectus (30k pdf)	\$2,000

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Fund Your USAA Mutual Fund Account

Application Progress

0% 50% 100%

Enter the amount of your initial investment and indicate if you would like Systematic Investing.

Fund Name - Add New Fund	Initial Investment	<u>Systematic Investing</u>	
Capital Appreciation			
Capital Growth Fund Remove This Fund	\$ <input type="text"/> (\$2,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
Small Cap Stock Fund Remove This Fund	\$ <input type="text"/> (\$3,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
Income-Taxable			
Income Stock Fund Remove This Fund	\$ <input type="text"/> (\$3,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
Short-Term Fund Remove This Fund	\$ <input type="text"/> (\$2,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
Money Market			
Money Market Fund Remove This Fund	\$ <input type="text"/> (\$2,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
New York Money Market Fund Remove This Fund	\$ <input type="text"/> (\$3,000 minimum)	<input type="radio"/> Yes	<input type="radio"/> No
Total Investment Amount		\$ 0,000	
Update Total			

Provide bank information to fund this account. Bank account holder must be the same as the Primary Account holder for us to process this application.

Financial Institution

JOHN G. MEMBER OR
JANE R. MEMBER
123 ANY STREET
ANYTOWN, USA
0123

YOUR BANK
456 ANY STREET
YOURTOWN, USA

0123456789 1011121314151617181920212223

Bank/Transit Routing Number Account Number

Bank/Transit Routing Number:

Account Number:

Account Type: ☐ Checking ☐ Savings

If you do not meet the minimum initial investment, you will be required to participate in our InvesStart® program by selecting systematic investing above.

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Confirmation

Thank You - Your USAA Mutual Fund Account is Now Open!

John Smith and Mary Smith signed on 01/01/2001 at 13:01:52, agreement received by USAA Investments.

You have successfully opened your USAA mutual fund account.

Here is your account information:

Your new account registration:

JTWROS
John Smith
123 Main Ave.
San Antonio, TX 78288

Your new USAA Mutual Fund investments:

Growth Fund	#123456778
Small Cap Stock Fund	#987654321
S&P 500 Index Fund	#192837465

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Fig. 1E

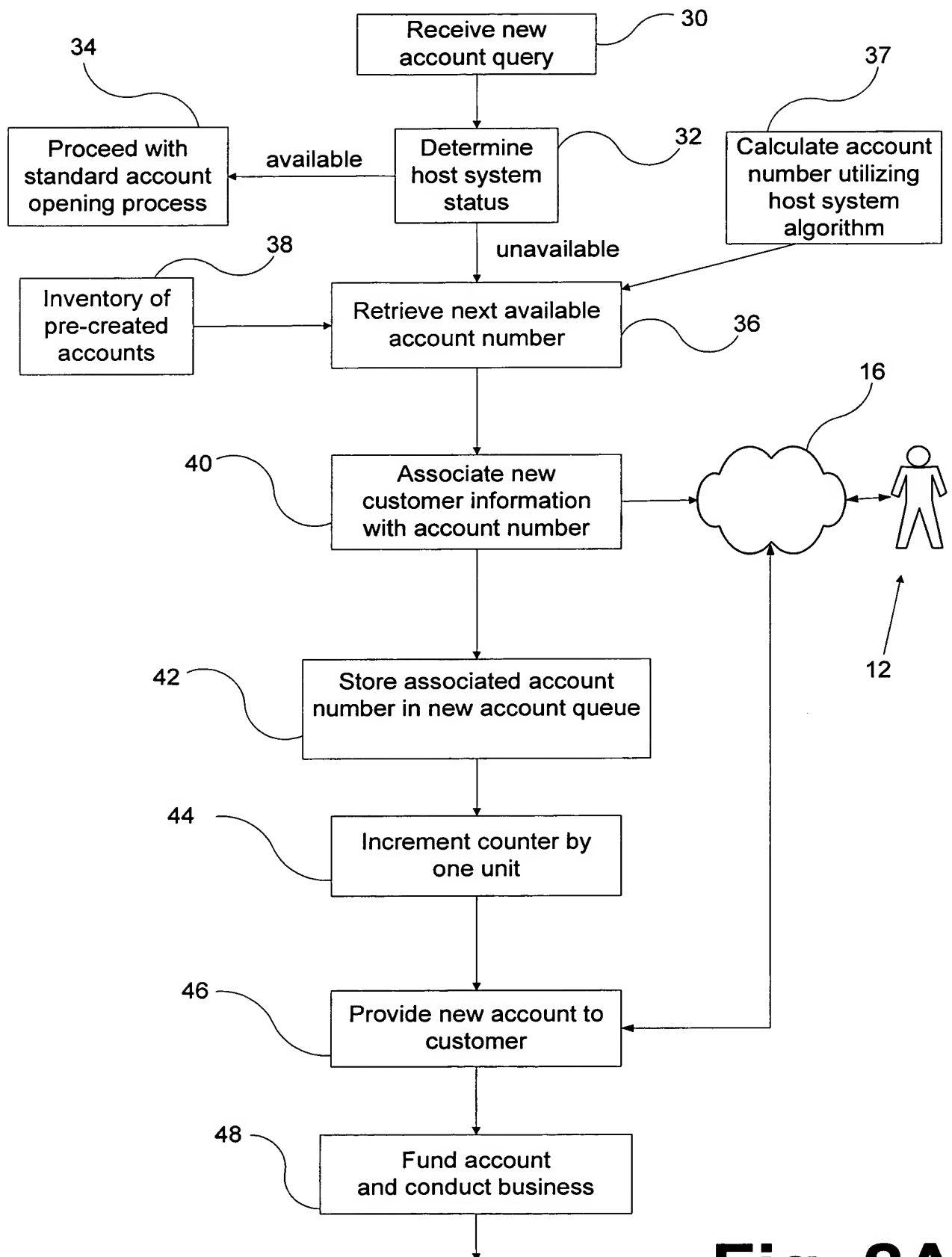


Fig. 2A

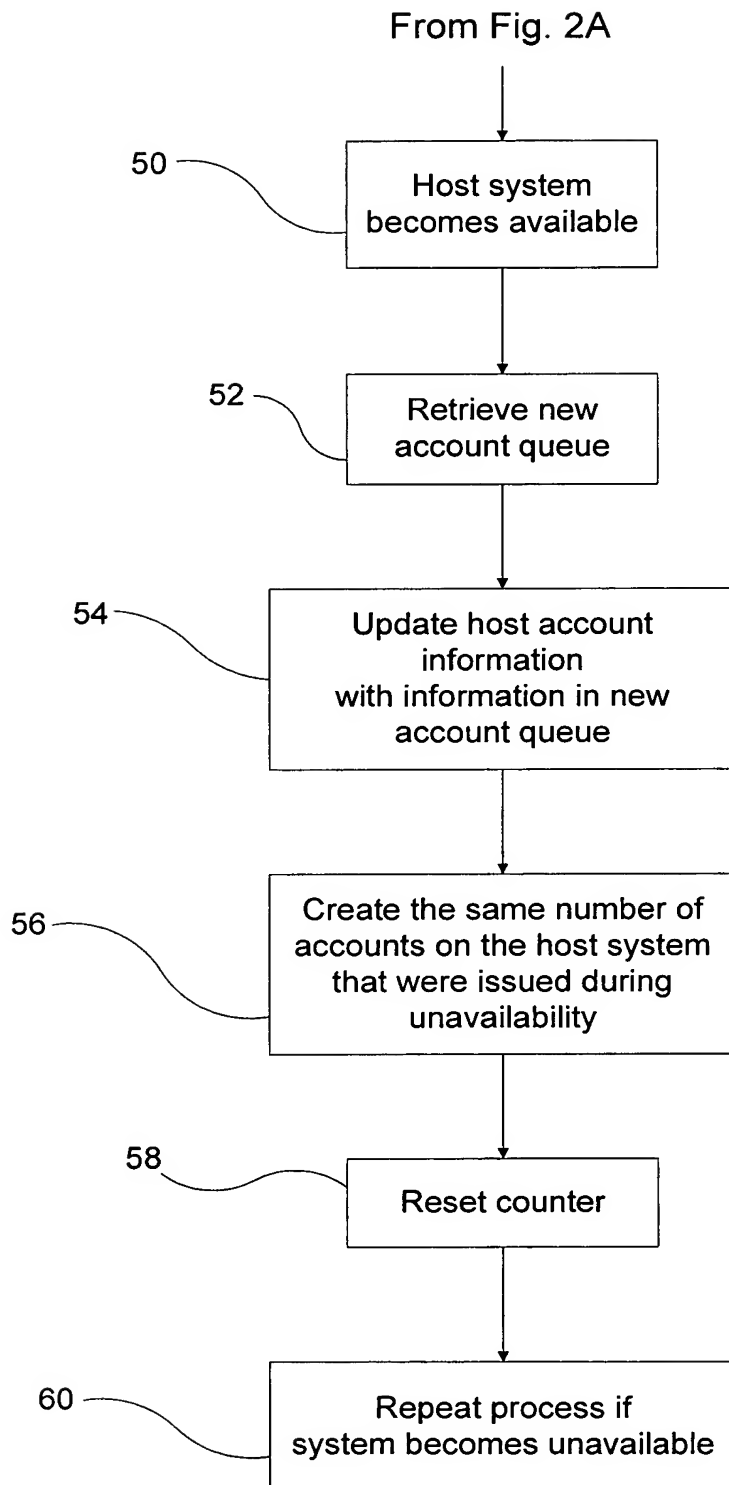


Fig. 2B

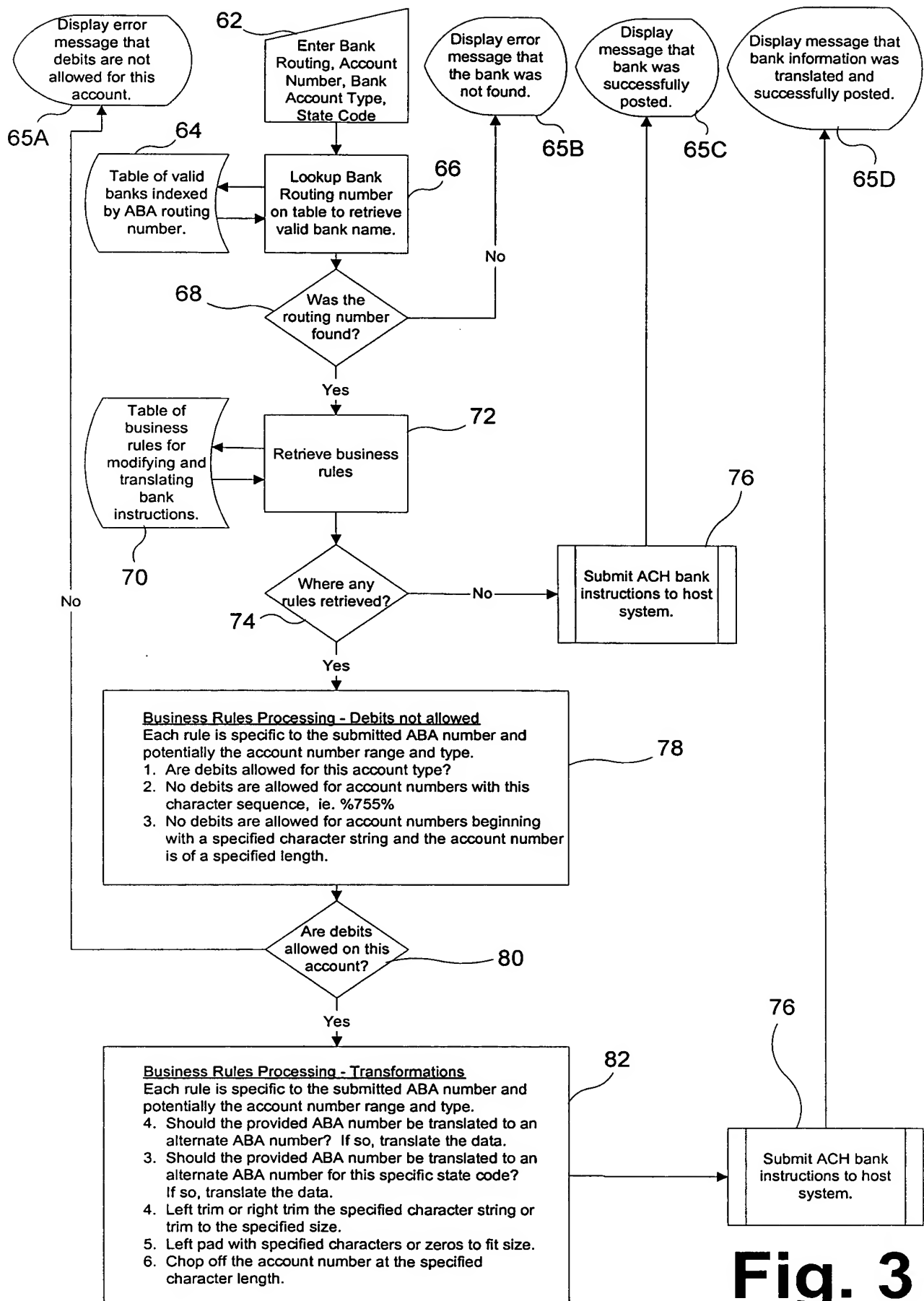


Fig. 3

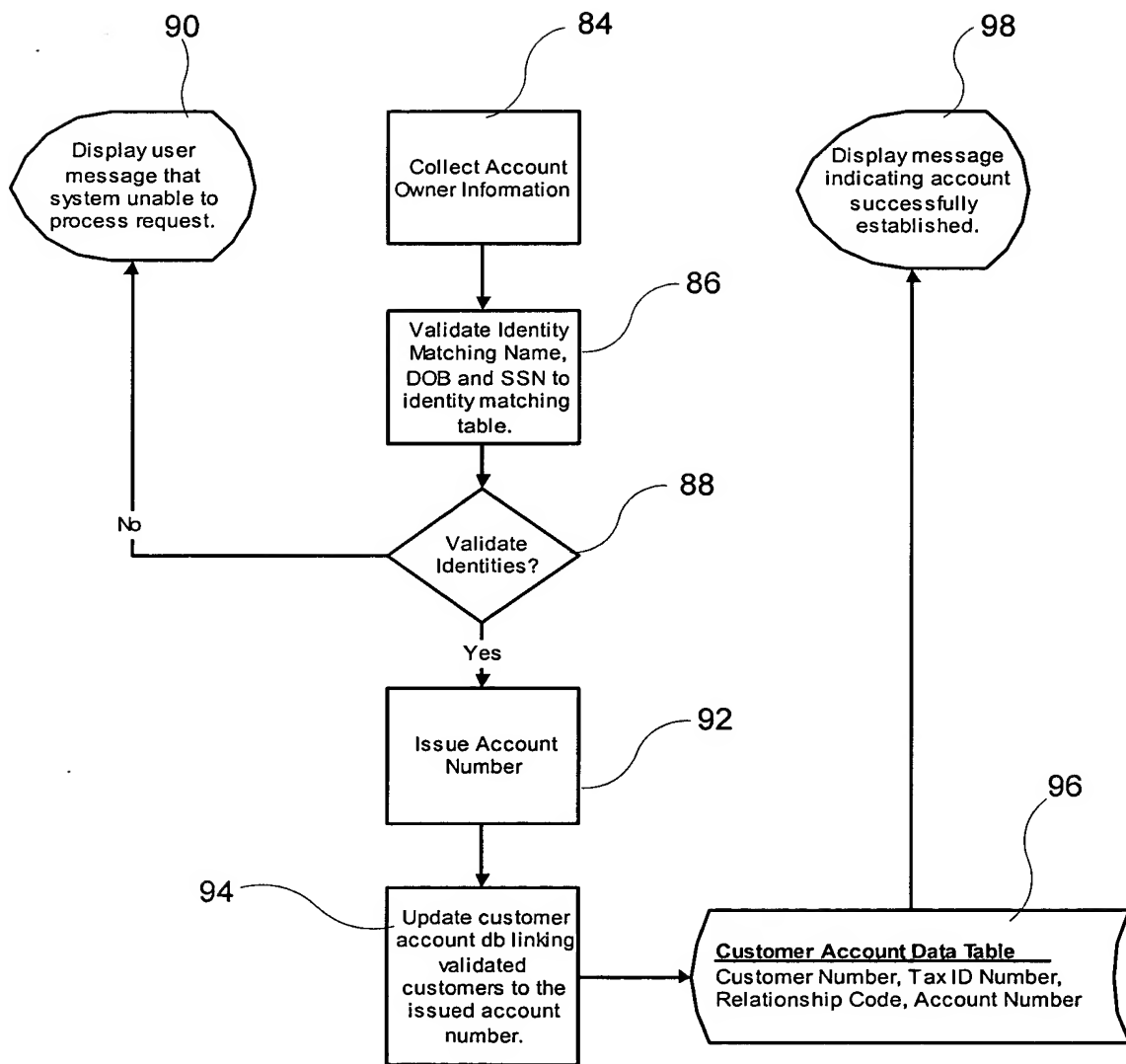


Fig. 4